

**Fig. 1.** Organization of the *MAT*, *HML*, and *HMR* loci on chromosome III of a *MATa* *S. cerevisiae* cell. The Z and X regions occur in three copies in parallel orientation and include parts of the  $\alpha 1$ ,  $\alpha 2$ , and *BUD5* genes. The Y region between them occurs in two versions (idiomorphs),  $Y\alpha$  and  $Y\alpha$ , which are completely dissimilar. This diagram is reversed relative to the standard *S. cerevisiae* orientation (2) to maintain compatibility with Figs. 2 and 3 despite species-specific inversions in *S. cerevisiae* (30). Note on nomenclature: We define X and Z as the regions that occur in three copies. In *S. cerevisiae* (2, 57), these are usually called X and Z1, and two duplicated regions that extend the similarity between *MAT* and *HML* (but not *HMR*) beyond them are called W and Z2. There are similar duplicated extensions at the outer edges of the triplicated regions in the other species studied here, but we did not see any consistent patterns of organization.

along evolutionary lineages and have had a profound effect on the structure of the *MAT*-containing chromosome in post-WGD species.

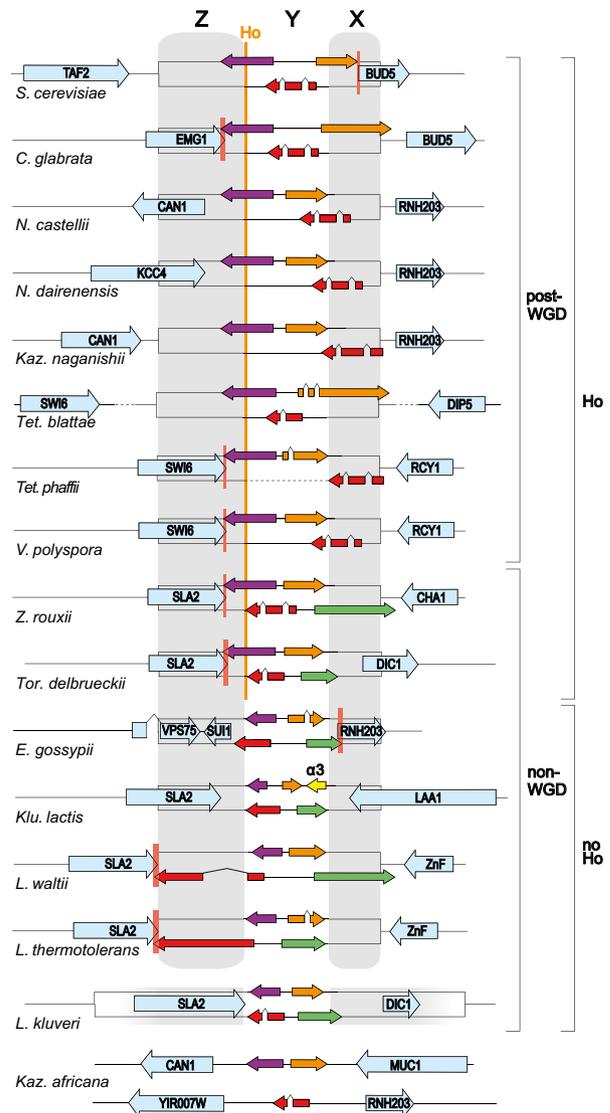
## Results

**Conservation of *MAT*–*HML* Linkage.** We compared *MAT* locus organization in 16 species of the family Saccharomycetaceae (25). We augmented existing data with genome sequences for seven species: two each from the post-WGD genera *Kazachstania*, *Tetrapispora*, and *Naumovozyma*, and one from the non-WGD genus *Torulasporea*. The data support previous hypotheses that the three-cassette structure (*MAT*, *HML*, *HMR*) originated at the base of the Saccharomycetaceae (6–8), the HO endonuclease is younger than the three-cassette structure (6, 12), and the loss of the *MATa2* gene (6, 26, 27) occurred on the same branch of the phylogenetic tree as the WGD. No losses of the *MATa1*, *MATa1*, or *MATa2* genes occur in the Saccharomycetaceae species, in contrast to the multiple losses of *MAT* genes in the *Candida* clade (28, 29).

Among the 14 species in which mating-type switching appears to be possible, we find that *MAT* and *HML* are always on the same chromosome (86–310 kb apart) and the genotype of *HML* is always  $\alpha$ . *HMR* is often on a different chromosome (30), and some species have two *HMR* loci (31). *HML* and *HMR* are usually but not invariably subtelomeric. The conservation of *HML* and *MAT* *in cis*, and of the  $\alpha$  genotype at *HML*, is probably due to conservation of the recombination enhancer (RE) site among species. The RE, which has so far only been found in *S. cerevisiae* (32, 33), is located in the interval between *HML* and *MAT*. It increases the frequency of productive switching by biasing the choice of donor (32), and operates by binding the  $\alpha 2$  protein (34, 35). The two species that may be unable to switch mating type are *L. kluyveri*, which has no *HML* or *HMR* (6, 36), and *Kazachstania africana*, which appears to have separate

*MATa* and *MATa* loci due to a genomic rearrangement and has lost *HML*, *HMR*, and the *HO* endonuclease gene.

**Turnover of Z and X Regions.** Although the *MAT* loci of most of the species are organized in a manner analogous to that of *S. cerevisiae*, the detailed structure of the Z and X regions varies extensively in terms of which *MAT* genes and neighboring chromosomal genes extend into them (Fig. 2). The X regions of *S. cerevisiae* and *Kazachstania naganishii*, for instance, have nothing in common. This variation is surprising, because the Z and X regions are virtually identical among the three copies



**Fig. 2.** Schematic organization (not to scale) of the *MAT* locus in 16 species. Both possible versions of the Y region are shown for each species.  $Y\alpha$  contains the genes  $\alpha 1$  (purple) and  $\alpha 2$  (orange).  $Y\alpha$  contains the genes  $a 1$  (red) and  $a 2$  [green, only in non-WGD species (6, 26)]. Caret symbols indicate introns. Gray shading indicates the extent of the Z and X regions. HO endonuclease, where present, cleaves the *MAT* locus at a site in the  $\alpha 1$  gene. Flanking chromosomal genes are shown in blue. Pink vertical bars indicate gene overlaps (broad bars) or intergenic distances  $\leq 5$  bp (narrow bars). In *L. kluyveri* there are no *HML* and *HMR* cassettes (36), but the sequenced strain is diploid so only the inner boundaries of Z and X are defined. In *Kazachstania africana* there are two *MAT*-like regions and no *HO* gene. The dashed line for *Tetrapispora phaffii*  $Y\alpha$  represents zero length of sequence. Fig. S3 shows the same regions drawn to scale.

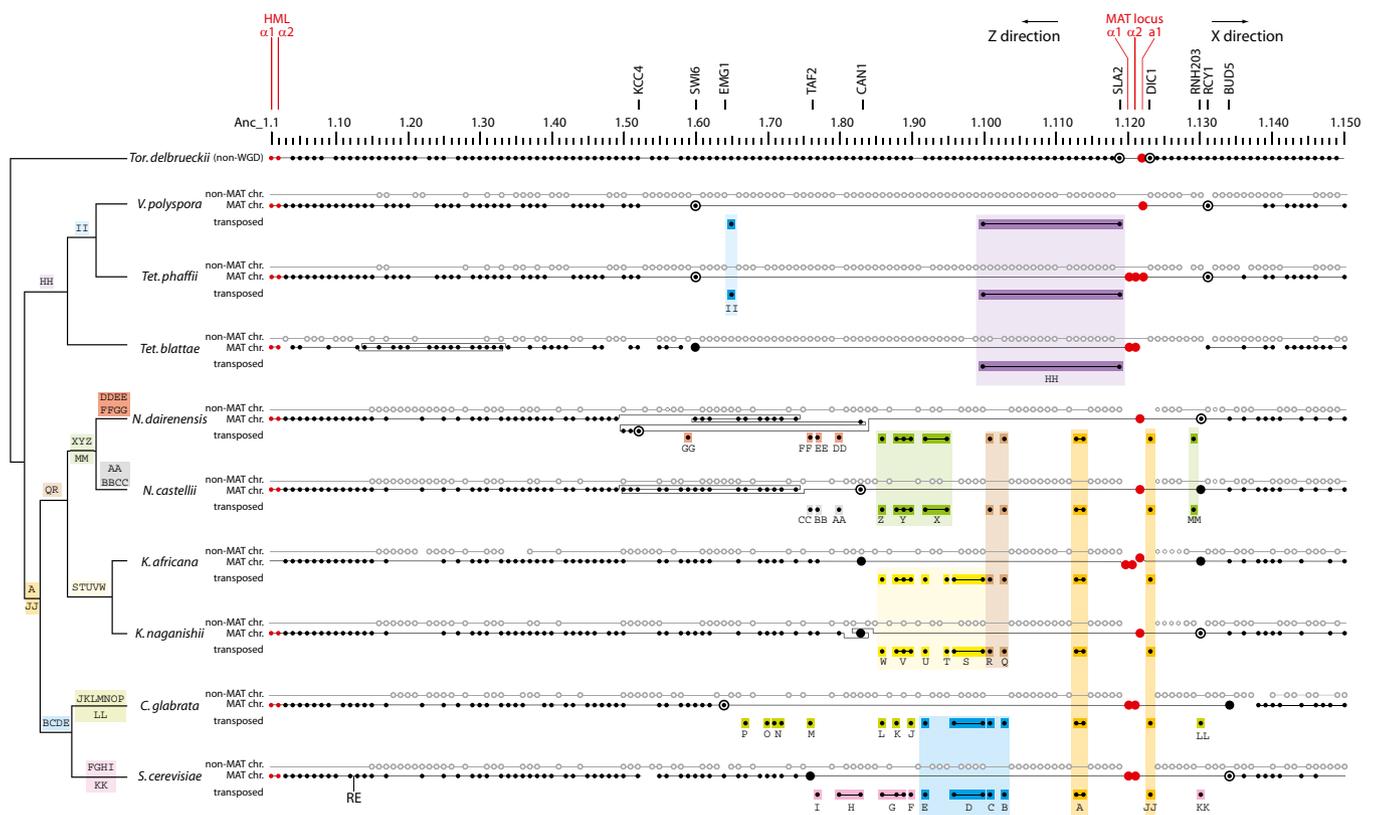
within each genome, and were previously found to be among the most slowly evolving sequences in the genome (with >96% identity) among four species in the genus *Saccharomyces* that are separated by tens of millions of years (37). Therefore, the Z- and X-region sequences have low rates of nucleotide substitution but can be completely replaced. There is an evolutionary requirement for triplicated sequences flanking *MAT*, *HML*, and *HMR* to guide mating-type switching, but the requirement is for triplication per se and not for any particular sequence.

A general principle of *MAT* locus organization apparent from Fig. 2 is that the idiomorph-specific region  $Y\alpha$  must contain parts of both the  $\alpha 1$  and  $\alpha 2$  genes, and  $Y\alpha$  must contain parts of the  $\alpha 1$  and (where present)  $\alpha 2$  genes, so that the gene fragments in the *MAT*-Z and *MAT*-X regions are incapable of expression in cells with the “wrong” genotype. Beyond this principle, however, it does not seem to matter which *MAT* genes extend into Z and X (Fig. 2), although in species with the HO endonuclease the Y–Z junction has been stabilized to a site in *MAT* $\alpha 1$ . *Tetrapisipora phaffii* is puzzling because it seems to violate the principle: It has no  $Y\alpha$  region (there is no DNA between the Z and X regions in its *MAT* $\alpha$  idiomorph), so it is not clear how (or whether) *MAT* $\alpha 1$  expression is prevented in *MAT* $\alpha$  cells of this species.

**Collision and Truncation of Chromosomal Genes Flanking *MAT*.** The Z and X regions often include parts of flanking chromosomal genes

whose functions are not related to cell identity (colored blue in Fig. 2), again with much variation among species. These genes are partially duplicated at *HML* and *HMR*. Remarkably, there is often almost no intergenic DNA between the flanking genes and the *MAT* genes, and in some cases they overlap (Fig. 2 and Fig. S1). Some flanking genes are truncated, such as *S. cerevisiae* *BUD5*, whose start codon overlaps the stop codon of *MAT* $\alpha 2$ . The Bud5 protein is only half the length of its orthologs in other species, lacking an SH3 domain at its N terminus (38). *SLA2*, *SWI6*, and *LAA1* in other species are all similarly truncated at their ends closest to *MAT* (Fig. S2). These features are all suggestive of a process that tends to delete nonessential DNA beside the *MAT* locus.

**Progressive DNA Deletion Beside *MAT*.** To investigate how the *MAT* locus acquired different flanking genes in different post-WGD species, we compared the genomes to the “Ancestral” gene order (39) inferred to have existed just before WGD occurred. In the Ancestral genome nomenclature (39), *HML* and *MAT* are on chromosome 1 (Anc\_1), with *HML* $\alpha 1$  and *HML* $\alpha 2$  being the first two genes on this chromosome (Anc\_1.1 and Anc\_1.2) and the *MAT* locus about 120 genes farther along (positions Anc\_1.120 to Anc\_1.122) (Fig. 3). The genes ancestrally flanking *MAT* are *SLA2* and *DIC1*, an arrangement that appears to be quite old and stable because it is conserved in *Komagataella phaffii* (*Pichia*



**Fig. 3.** Progressive loss of genes flanking the *MAT* locus by deletion and transposition. The scale indicates gene positions along part of Ancestral chromosome 1, from Anc\_1.1 to Anc\_1.150. Each circle represents a gene, with *HML* and *MAT* genes in red (each genome sequence is arbitrarily either *MAT* $\alpha$  or *MAT* $\alpha$ ). Horizontal lines connect genes that are currently neighbors; zigzags show inversions. For each post-WGD species, genes are assigned to three groups: those derived from the *MAT* chromosome (the chromosome that retained the *MAT* locus after WGD; black circles); those derived from the non-*MAT* chromosome (the paralogous chromosome that lost the *MAT* locus after WGD; open circles); and those that transposed from the *MAT* chromosome to other places in the genome (letters A–Z and AA–MM; colored backgrounds). Each transposition can be inferred to have occurred on a particular branch of the phylogenetic tree on the left, based on the clade of species that share the insertion site, as shown by the different colors. Genes named above the scale are the current neighbors of the *MAT* locus in the species shown here; these genes are identified by bullseyes (for flanking genes that extend into the Z or X regions) or large black circles. Due to a large inversion in *S. cerevisiae* that spans the *MAT* locus and the centromere (30), the Z and X directions as indicated at the top correspond to rightward and leftward, respectively, on chromosome III. More details are given in Fig. S5.

*pastoris*) (40) and *Ogataea (Hansenula) polymorpha* (6). Ancestral chromosome 1 was duplicated as part of WGD, giving rise to two daughter chromosomes. We call one daughter the “*MAT* chromosome” because it retained the *MAT* and *HML* loci, and the other the “non-*MAT* chromosome” because it lost its copies of these loci. Both chromosomes underwent further rearrangement after WGD, but in each post-WGD species the chromosomal regions derived from the *MAT* and non-*MAT* chromosomes can be identified by tracing the products of each rearrangement event (39), and are shown in Fig. 3.

Strikingly, large deletions are seen on the *MAT* chromosome in each post-WGD species, beginning at the *MAT* locus and extending in the Z direction (leftward as drawn in Fig. 3). These deletions brought genes that were originally farther away in the interval between *HML* and *MAT* into direct proximity with *MAT*. In *Vanderwaltozyma polyspora*, for example, *SWI6* (Anc\_1.60) is now the neighbor of *MATa1* (Anc\_1.122) on the *MAT* chromosome, and almost all of the Ancestral genes between them were retained on the non-*MAT* chromosome instead (Fig. 3). This nonrandom distribution of genes between sister chromosomal regions contrasts with the usual pattern of gene losses after WGD (31, 41). The most obvious explanation is that 60 consecutive genes were removed from the *MAT* chromosome in the *V. polyspora* lineage by a deletion(s) that occurred soon after WGD, at a time when most of its genome was still duplicated. The deletions have different endpoints in different post-WGD species, so that among the nine post-WGD species in Fig. 3 the current neighbors of *MAT* on the Z side are *KCC4* (Anc\_1.52), *SWI6* (Anc\_1.60), *EMG1* (Anc\_1.64), *TAF2* (Anc\_1.76), and *CAN1* (Anc\_1.83). A similar but less extensive deletion process has occurred on the other (X) side, where the genes flanking *MAT* are *RNH203* (Anc\_1.130), *RCY1* (Anc\_1.131), and *BUD5* (Anc\_1.134) in different post-WGD species. In *Tetrapispora blattae*, a translocation has joined the X side of *MAT* to a telomeric region. Rearrangements like this probably cannot occur on the Z side due to the evolutionary constraint to maintain *MAT* and *HML* on the same chromosome.

In contrast to the situation for post-WGD species, none of the non-WGD species show large deletions beside the *MAT* locus. They all retain an organization similar to *Torulasporea delbrueckii*, which is shown for illustration in Fig. 3. In different non-WGD species, the genes neighboring *MAT* on the Z side are *SLA2* (Anc\_1.119) and *SUI1* (Anc\_1.118), and on the X side *DIC1* (Anc\_1.123), *LA1* (Anc\_1.127), *RNH203* (Anc\_1.130), and an unnamed zinc-finger gene located between Anc\_1.123 and 1.124 (Fig. 2). In *Z. rouxii*, similarly to *Tetrapispora blattae*, a translocation has joined the X side of *MAT* to a telomeric region containing *CHAI*.

**Gene Transpositions Provide a Timeline.** Instead of being deleted, some genes transposed away from the vicinity of the *MAT* locus. For instance, *S. cerevisiae JJJ3* (Anc\_1.113) is not found in the expected region of the *MAT* or non-*MAT* chromosome (parts of chromosomes III and XIV, respectively), but instead is on chromosome X (*YJR097W*). *JJJ3* and its neighbor *YJR098C* (Anc\_1.114) transposed from the *MAT* chromosome to a new genomic location descended from Ancestral chromosome 7, where they were inserted between genes Anc\_7.468 (*YJR096W*) and Anc\_7.470 (*YJR099W*). We found 39 separate such events of transposition away from *MAT* and use letters A–Z and AA–MM to identify them (Fig. 3). Each transposition event moved one to three genes. Of the 39 events, 35 are on the Z side of *MAT* and 4 are on the X side.

The transposition of *JJJ3* and *YJR098C* to the site on Ancestral chromosome 7 (event A in Fig. 3) is shared by the genomes of six post-WGD species, so it must have occurred in their common ancestor. Further to the left (Z side) of the *MAT* locus, events B, C, D, and E are transpositions shared by *S. cerevisiae* and *C.*

*glabrata* (they have the same four insertion sites), but not other species. Further left again, events F, G, H, and I are unique to *S. cerevisiae*, and then we reach the gene (*TAF2*, Anc\_1.76) that is the current neighbor of *MAT* in *S. cerevisiae*. A similar pattern is seen in each other post-WGD species (Fig. 3 and Table S1). It is evident that the genes transposed in a particular order, with those closest to Anc\_1.120 moving before those further to the left, over a long time period during which the post-WGD lineages diverged from one another, as shown by the phylogenetic tree in Fig. 3.

We therefore infer that the *MAT* locus tends to cause the deletion or transposition of the gene that is its immediate neighbor on the Z side. When one neighbor is removed, the next comes under attack. During the 100–200 million y since WGD, this process has removed a series of 44–60 *MAT*-neighboring genes in different post-WGD species. On the X (right) side, only four transpositions are seen, but again an older transposition (event JJ) involved a gene that was ancestrally closer to the *MAT* locus than the younger transpositions (events KK–MM).

## Discussion

We hypothesize that the evolutionary deletions, gene truncations, and transpositions beside the *MAT* locus were made during recovery from occasional accidents that occurred during mating-type switching. DNA synthesis during switching in *S. cerevisiae* is highly prone to errors, including microhomology-mediated jumps to ectopic templates (16). The evolutionary deletions resemble the long one-sided deletions found extending up to 12 kb from the HO site, in the Z direction, in about 2% of *S. cerevisiae* cells in experiments by Yu and Gabriel (42) in which the cleaved chromosome was repaired by microhomology-mediated end joining (MMEJ) because no donor sequence was available. During switching in *S. cerevisiae*, the HO double-strand break is processed (resected) to generate a long single-stranded tail that can include all of the Z region and extend into the flanking gene (*TAF2*) beyond it (17). If this tail broke and lost the Z region, no homologous donor would be available; to repair the chromosome in a way that satisfies the constraint (imposed by the RE) to keep *MAT* and *HML* *in cis* would require religation by MMEJ, deleting part or all of *TAF2*. If instead the tail invaded some other place in the genome, it could cause transposition of *TAF2* before the *HML*–*MAT* linkage is restored. The greater extent of deletions and transpositions seen on the Z side than on the X side (Fig. 3) may be because DNA-strand exchange initiates in the Z region (17, 18). Successful repair of the chromosome would also require the new sequence flanking *MAT* to be copied to *HML* and *HMR* to become a new Z region; the fact that different chromosomal genes are incorporated into the Z and X regions in different species (Fig. 2) shows that such a feedback mechanism exists.

We infer that a tendency to delete DNA beside the *MAT* locus exists in non-WGD species as well as post-WGD species, because we see flanking gene truncations and some small gene deletions in non-WGD species (Fig. 2 and Fig. S2) (43). However, the effects of the deletion process are much more drastic in post-WGD species (Fig. 3). We hypothesize that the difference is because WGD brought redundancy into the genome. Suddenly no genes beside the *MAT* locus were essential because they all had a second copy on the non-*MAT* chromosome, so large deletions were possible. As time progressed, duplicated genes were lost from throughout the post-WGD genome, and some genes in the interval between *HML* and *MAT* became single-copy. We propose that when the deletion process brought *MAT* adjacent to an essential single-copy gene, the process stalled until the gene transposed away from beside *MAT*. It is notable that some genes such as *TAM41* (Anc\_1.86) transposed independently in multiple lineages to different genomic sites (events G, L, W, and Z; Fig. 3 and Table S1). We suggest that its paralog on the

non-*MAT* chromosome was lost soon after WGD, making *TAM41* essential and so requiring it to be relocated in each lineage when *MAT* encroached on it. Some patterns of transposition (events HH, AA, BB, and CC) also indicate that a gene can be “trapped” in the Z region for a period while genes further to its left are deleted. *Eremothecium gossypii* *SUII* (Anc\_1.118) may be an example of a trapped gene because *CWC25* (Anc\_1.117) has transposed from between it and *VPS75* (Anc\_1.116) (Fig. 2).

Our analysis suggests that errors during mating-type switching, combined with natural selection to keep *MAT* and *HML* on the same chromosome, have subjected the genes flanking the *MAT* locus to a continual process of attempted deletion and occasional transposition during evolution. Deletions were rampant in the immediate aftermath of WGD, but the rate at which *MAT* is moving toward *HML* is slowing (Fig. S4) because more genes are single-copy and need to be rescued by transposition. The deletion process removes genes and is therefore likely to impact on the biology of the species in which it occurs. One likely gene loss due to this process was a cyclin gene similar to *C. albicans* *CCN1* (44), which has no ortholog in *S. cerevisiae*. This gene is located between positions Anc\_1.77 and Anc\_1.78 in non-WGD species. It has been lost from all post-WGD genomes, except in the genus *Kazachstania*, where it survives because the *MAT* locus has only deleted Z-ward as far as Anc\_1.83 in that genus (Fig. 3). Another possible casualty is the *MATa2* gene itself, whose loss led to rewiring of the cell identity pathway (26, 27).

Sex chromosomes are subject to unique evolutionary processes and mechanisms (5, 45–47). Our observations about the yeast *MAT* chromosome are reminiscent of the movement of genes out of the mammalian X chromosome (48, 49), but unlike that process we do not suggest that the “out-of-*MAT*” gene movements are driven by natural selection. Instead, we propose a mechanical explanation: that mating-type switching is accident-prone, and that recovery from these accidents erodes the flanking chromosomal DNA. The fact that switching has been an evolutionarily successful strategy (23) implies that it must confer a benefit that outweighs the mutational costs of the deletions described here and of the error-prone DNA synthesis that occurs during switching (16). What is this benefit? Unlike recombination, switching does not create or maintain any genetic diversity. And because switching occurs both in species that grow primarily as diploids (such as *S. cerevisiae* and most post-WGD lineages) and in others that grow primarily as haploids and sporulate immediately after mating (such as *Kluyveromyces lactis* and most non-WGD lineages), the benefit cannot simply be one of diploidy over haploidy. We suggest that the benefit of switching may be that, in effect, it makes spore germination

reversible. Consider a single isolated spore that finds itself in a poor environment. In a yeast species that cannot switch mating types, if the spore germinates it commits itself irreversibly (50) to mitotic growth until it finds a mating partner. If the environment is too harsh, this cell lineage will go extinct. In contrast, in a species that can switch, an isolated spore that germinates in a harsh environment can form new spores genetically identical to itself after just two mitotic cell divisions (51), followed by switching, mating, and sporulation. In this way, mating-type switching may have the benefit of allowing spores to test environments of uncertain quality. In poor environments one could envisage spores going through repeated cycles of germination, switching, and resporulation, possibly leading to periodic bursts of switching and increased rates of DNA erosion at the *MAT* locus.

## Materials and Methods

**Sequencing.** The genomes were sequenced using Roche FLX technology with the aim of achieving high contiguity and establishing the order of genes along chromosomes. We sequenced the type strains, purchased from the Centraalbureau voor Schimmelcultures (CBS), of these species in the family Saccharomycetaceae (25): *Tetrapispora phaffii* (CBS 4417; 17 scaffolds), *Tetrapispora blattae* (CBS 6284; 10 scaffolds), *N. dairenensis* (CBS 421; 12 scaffolds), *Kazachstania africana* (CBS 2517; 12 scaffolds), *Kazachstania naganishii* (CBS 8797; 13 scaffolds), and *Torulaspora delbrueckii* (CBS 1146; 7 scaffolds). We also completed the sequence of *N. castellii* (CBS 4309; previously called *S. castellii* or *Naumovia castellii*; 10 scaffolds), which was draft-sequenced by Cliften et al. (52, 53). Sequencing was done under contract by Eurofins MWG Operon. Each genome was sequenced to >20× coverage (>1 million reads) using a Roche GS FLX instrument with titanium reagents, with a mixture of paired (3-kb, 8-kb, and 20-kb genomic DNA inserts; 1/4 of data each) and unpaired (1/4 of data) sequence reads. Data were assembled into contigs and scaffolds using the Celera assembler (54). All intercontig joins in the scaffold data were checked manually by reference to the paired-end reads and by comparison with other species. All scaffolds appear to correspond to complete chromosomes, except for one unplaced 15-kb scaffold in *Tetrapispora phaffii*. Ribosomal DNA was assembled and integrated into the scaffolds manually. Mitochondrial genomes were not assembled.

**Annotation.** We developed a pipeline, to be described in detail elsewhere, that uses gene order and sequence data from the Yeast Gene Order Browser (YGOB) database (55) to annotate yeast genomes. The pipeline uses an approach based on TBLASTN (56) to overcome frameshift sequencing errors.

**Data Access.** Genomes can be viewed in the YGOB database (<http://wolfe.gen.tcd.ie/ygob>).

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